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The Cognitive Consequences of Patterns of Information Flow

This paper examines the instruments and procedures found on state-of-the-art commercial airline flight decks. It argues that the flight deck as a whole should be viewed as a cognitive system and shows how design decisions may affect patterns of information flow which, in turn, produce cognitive consequences for the flight deck system. Some notable successes and persistent problems are described and a set of design principles that distinguish the successes from failures are presented. Finally, the application of the design principles to long-standing problems illustrates how these principles can lead to new instruments with desirable system properties.

Keywords: Distributed cognition, embodied cognition, human computer interaction, aviation instruments, aviation procedures, common-ground understandings, auditory icons.

Les conséquences cognitives des configurations du flux d'information. Cet article examine les instruments et procédures que l'on trouve dans les cabines de contrôle des avions commerciaux les plus récents. L'article propose que la cabine de contrôle soit envisagée dans son ensemble comme un système cognitif, et montre comment le design peut influencer les flux d'information qui, à leur tour, produisent des conséquences cognitives pour le système. Quelques succès notables et quelques problèmes persistants sont décrits, et des principes de design qui distinguent les succès et les échecs sont présentés. Enfin, l'application de ces principes à certains problèmes pérennes illustre comment ils peuvent donner naissance à de nouveaux instruments ayant des propriétés souhaitables.

Mots-clés : Cognition distribuée, cognition incarnée, interaction homme-machine, instruments de l'aviation, procédures de l'aviation, sens commun, icônes auditifs.

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INTRODUCTION

The flight deck of a modern commercial airliner is a complex system consisting of two or more¹ crew and a suite of technological devices. Figure 1 shows the flight deck of the state-of-the-art Boeing 747-400. When everything goes right, all modern flight decks are easy to use. When things go sour, however, automated flight decks provide opportunities for new kinds of problems (Sarter and Woods, 1992). A recent article in *Aviation Week* cited industry concern over the problem of verifying the safety of complex systems on automated, digital aircraft, stating that the industry must “guard against the kind of incident in which people and the automation seem to mismanage a minor occurrence or non-routine situation into larger trouble.” (McKenna, 1998). The design of automated flight deck systems that flight crews find easy to use safely is a challenge in part because this design activity requires a theoretical perspective which can simultaneously cover the interactions of people with each other and with technology.



Figure 1. *Flight deck of the Boeing 747-400*

¹ All modern commercial airliner cockpits are designed for two crewmembers. In long-haul operations, however, a third crewmember is present to relieve the primary pilots and to provide information support on takeoff and landing.

Aeronautical engineers know how to design reliable systems behind the instrument panel. Behind the mode control panel of Boeing airplanes, for example, there are multiple pathways for information and redundant processors that do automatic error checking by comparing signals (see figure 2). In recent years, this sort of ‘system thinking’ has continued to develop in computer science. An entire field of computer science called ‘distributed artificial intelligence’ studies how intelligent behavior arises in multi-processor computer systems. One of the challenges facing aviation today is how to design systems that are reliable on both sides of the instrument panel. Until very recently, design activities and certification procedures focussed on the reliability of hardware and software. While studies of human reliability exist, tools to address the reliability of the system that includes the pilots and technological devices as system components are lacking.

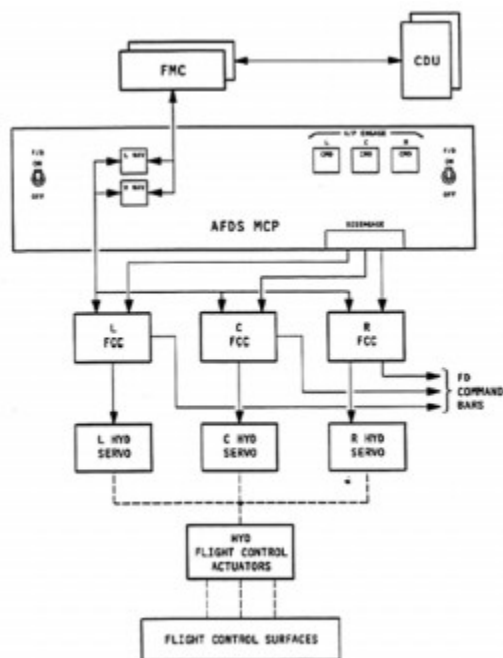


Figure 2. A system diagram describing what lies behind the mode control panel in a modern airplane.

In this paper I will introduce some concepts that can be used to understand the flight deck as a system that is composed of two or more pilots and a complex suite of automated devices. As I will try to show, without a theory, we can repeat what seems to work, but we may not know why it worked or how to make it work in novel circumstances.

Theory allows us to rise above the particulars of specific situations and makes the roots of success in one setting applicable to other settings.

To the extent that flight decks have been designed with a theory of cognition even implicitly in mind, that theory has usually been the standard information processing theory of cognitive psychology. This view of cognition treats the mind as a logic machine, memory as retrieval from a symbolic data base, and problem solving as a form of logical inference. These central tenets follow from a computational model of the mind and they have implications for the possible roles of the body and social world in explanations of cognitive phenomena. Since cognition is taken to happen in a central processor, “the environment is a problem domain and the body is an input device” (Clark, 1996). The focus on the individual mind as a computational device implies that the individual actor should be the principal unit of analysis for cognition.

DESIGNING FOR THE TOTAL FLIGHT DECK SYSTEM

Following the psychological theory of human information processing, most attempts to design flight deck systems focus on “the pilot” or on the interaction of “the pilot” with flight deck controls and instrumentation. Even the very best Human-Centered design efforts (for example, Charles Billings’ recent book on aviation automation (Billings, 1997) naturally focus on presenting information to “the pilot” rather than to “the flight crew.” It is possible to make quite a lot of progress with this sort of theory, but there are many phenomena that are of critical importance to aviation safety that cannot be addressed in a theoretical framework that explains only the properties of individual actors.

In recent years, there have been many calls for design decisions that acknowledge the fact that the crew is a team and that the cognitive system that matters is not the individual pilot or even the pilots. Design must consider the entire flight deck system consisting of two or more people in interaction with each other and now with a complex suite of automated devices. A recent Airline Pilots Association (ALPA) report on “Automated Cockpits and Pilot Expectations” puts the case clearly.

“The performance of the overall flight crew/flight deck system depends on understanding the total system, its human and automated components and the way these components interact to accomplish the mission. Each design decision should consider overall flight safety and efficiency. Combined flight crew/flight deck system performance is more important than local

optimization of the performance of any human or automated component in that system.” (ALPA, 1996:5)

During the same period in which industry attention was shifting from the individual pilot to the flight deck system, cognitive theory was changing along similar lines. First, there has been a reconception of the contribution to thinking activity of the body and of structure in the environment. This is called embodied or ecological cognition (Clark, 1996; Hutchins, 1995a; Lakoff and Johnson, 1999). Second, it has become increasingly clear that cognitive processes may be enacted by two or more persons in interaction with one another. This is the social distribution of cognition (Hutchins, 1995a). Together they comprise a view called “distributed cognition.”

These ideas are not unknown in aeronautics, but neither are they fully integrated into human-computer interaction design philosophies. The recent FAA report titled, “The interfaces between flightcrews and modern flight deck systems” (FAA, 1996) has the right wording in its title, referring as it does to “flightcrews” rather than “the pilot”. However, a careful reading of the report shows that it is still much easier to think about the cognition of individual pilots than to think about the cognitive properties of the full flight deck system. The question I want to address here is this: How can we actually design for the interactions among the components of the combined flight crew/flight deck system?

There have already been many successful attempts to design for the total cockpit system. Crew Resource Management (CRM), the design of altitude change procedures, and some aspects of lateral navigation displays provide good examples. Still, many aspects of flight deck operations remain problematic. Autoflight mode management is a persistent problem. Why do the successful cases succeed and why do the problems remain unsolved? Until we can answer these questions, we will have to make do with trial and error methods of design.

SOME SUCCESSES — CRM

Cockpit or Crew Resource Management (CRM) (Wiener, et. al., 1993) provides the very best example of a fully worked out vision of the system view for one aspect of the functioning of the flight deck system. It addresses communication among crewmembers. But there are other aspects that are less well understood and remain largely unrecognized. Hints about a wider perspective on the flight deck systems view come from a variety of sources.

Wiener (1989) conducted observations of crews flying automated airplanes. Among the many phenomena he noted was the fact that captains complained that they had difficulty supervising first officers in the use of the flight management computer system (FMCS) because the control and display unit (CDU) installed at each crew station is difficult to see from the other crew station (figure 3). This is a problem brought on by an interaction between task allocation, display design and the spatial placement of the CDU.

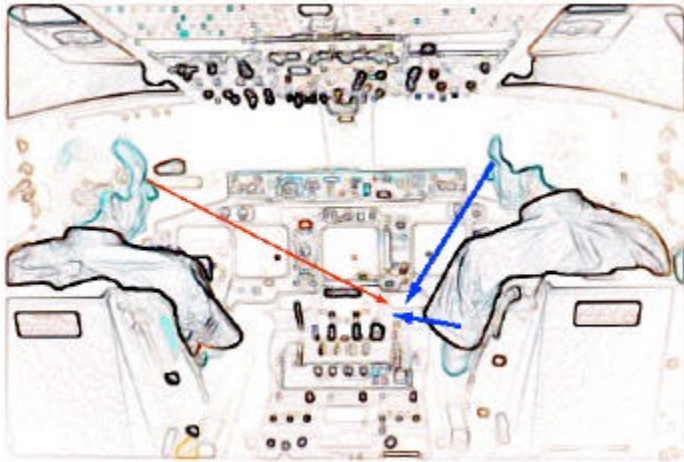


Figure 3. *The flow of information when the First Officer programs the FMS through the CDU. The thin arrow represents the Captain's restricted access to the first officer's actions.*

The difficulty described by the captains concerns the movement of information in the combined flight crew/flight deck system. In particular, the design of the flight deck deprives the captains of information they would like to have. This design interferes with a social distribution of cognition. Good CRM provides a partial solution to this problem by mandating explicit verbal communication of every change made via the CDU, but one is left to wonder how many of the problems solved by CRM were created by design decisions that did not give enough consideration to the patterns of information flow.

Altitude Change Procedure

In the late 1980s, a major airline instituted a procedure to reduce the number of altitude deviations (Sumwalt, 1991). In this procedure, one pilot sets the target altitude in the mode control panel (MCP) altitude

window, announces the altitude, and points to the altitude window until the other pilot reads the altitude shown in the window aloud.

This procedure forces a movement of information so that the altitude is redundantly represented in the minds of the two pilots (figure 4). This procedure improves the social distribution of a cognitive task. Error detection requires a comparison of two or more representations of the same thing. Every pair of arrowheads arriving at a pilot in figure 4 represents an opportunity for error detection. Notice that the modified procedure creates eight opportunities for error detection and correction whereas the standard procedure creates only four. This simple procedural change doubles the number of opportunities for error detection by constructing an appropriate pattern of information flow.

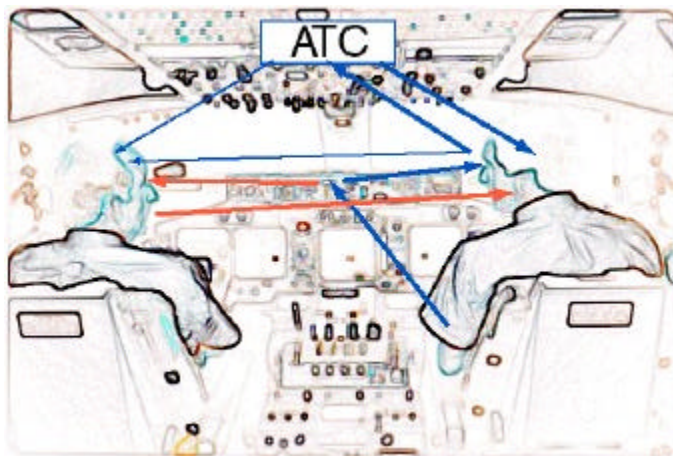


Figure 4. *The flow of information in the altitude change procedure. Heavy arrows indicate mandatory information exchange, light arrows are discretionary, medium arrows represent new mandatory information trajectories introduced by the modified procedure.*

Descent to an Altitude Restriction

As a final example, consider the task of making a descent to an altitude restriction at a waypoint. In order to perform this maneuver it is necessary to determine a descent rate adequate to satisfy the clearance.

In an older airplane, such as the DC-10, considerable work is required (figure 5). Pilots do this by dividing the distance to the waypoint by present ground speed to get the time to the waypoint. They

then divide the difference in altitude between the current altitude and the altitude restriction by the time to the waypoint to determine the vertical rate required.

If the result is a rate that the pilot knows can be safely achieved, he can then adjust pitch, thrust, and drag to produce a vertical rate that will satisfy the clearance. Even allowing for some tricks, such as the fact that Mach number times 10 is approximately the number of miles the airplane covers in a minute, there is a lot of cognitive work to do in order to determine the vertical rate required to meet the restriction. This computation is disembodied decision making. It is done mostly in the head of the pilot and the displays serve only as sources of input to the computation.



Figure 5. *The flow of information in a non-automated cockpit when making a descent to cross a waypoint at a specified altitude.*

The navigation displays in the current Boeing flight decks offer a feature called the “green arc.” This arc appears on the lateral navigation display (moving map) during altitude change maneuvers and shows where, in lateral space, the aircraft will reach the altitude selected in the MCP window based on the present ground speed and vertical speed (figure 6).

To solve the problem in this cockpit, the pilot simply enters the restriction altitude in the altitude window on the MCP and then manipulates the vertical rate until the green arc falls between the airplane and the waypoint. This is a computation, but when a pilot does it using the green arc, it cannot be said that the computation happens entirely in the aircraft computer (because the computer does not

contain a representation of the clearance linking the altitude to the waypoint), nor is the computation entirely in the head of a pilot. Rather this computation is performed in the interaction between the pilot, the navigation display, and the way the airplane responds to pilot inputs of thrust, pitch, and drag. Such a performance defies traditional function allocation analyses since this function is not allocated to any single flight deck sub-system. The use of the green arc illustrates the possibility of embodied decision making. The conceptual question of the altitude of the aircraft at the waypoint is represented directly and dynamically in the perceptual properties of the display. With this display it is very easy to monitor progress toward the goal. Further, since the green arc appears on both navigation displays, it facilitates monitoring by the pilot not flying and supports the formation of shared understandings about the airplane's situation.

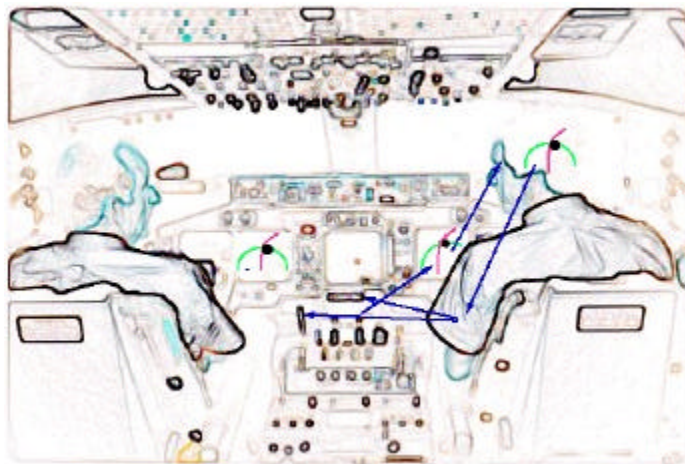


Figure 6. *The flow of information in an automated Boeing cockpit while making a descent to cross a waypoint at a specified altitude.*

Notice that we can apply the same framework to questions concerning pilot interaction with displays that we applied to pilots interacting with one another. In both cases, we ask what information is represented, where and how it is represented, and what patterns of information flow are formed in the activity.

Many design elements that look good in terms of information flow are already present in the cockpit. But the principles of design for information flow have not been clearly articulated. Some of what I have said so far seems to be common sense, but without clear principles it is

difficult to fully exploit the potential advantages of well-designed information flow. This is especially true in aviation, where there are complex tradeoffs to be made among elements of common sense that are often in conflict with one another.

SOME PERSISTENT PROBLEMS

Autoflight mode management is widely recognized as a continuing problem for flight crews. I believe that this is not due to poor engineering of system functionality – the systems are really quite elegant in their operation. Neither is it due entirely to poor training, although the need for improvement here is widely recognized. The problem is located in the user interface to the autoflight functions. Figure 7 illustrates the information flows that are required to make sense of a flight mode annunciation.

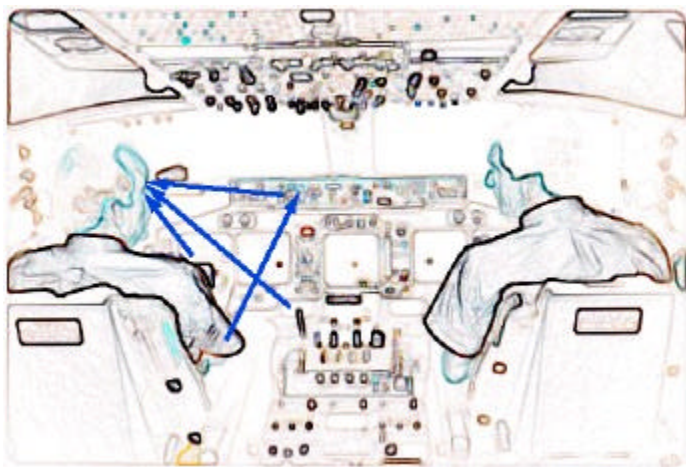


Figure 7. *The flow of information required to interpret the consequences of selecting a high-level autopilot/flight director mode.*

On some models of airplane, when a pilot selects the vertical navigation (VNAV) function on the mode control panel, a flowbar in the VNAV mode select switch on the MCP may be illuminated. This does not mean this mode is engaged, it only means it has been armed for engagement. To know which mode is engaged, one must look to the Flight Mode Annunciator (FMA) which presents strings of text characters that refer to the engaged modes. Under some conditions, VNAV SPD would be displayed.

But what will this mode attempt to do? There are two issues here. First, what targets will VNAV SPD attempt to attain? A speed presumably, and possibly altitudes and other speeds at down-course waypoints as well. To determine what these targets will be, one must consult two different Flight Management Computer System (FMCS) pages via the CDU. Second, how will VNAV SPD attempt to attain those targets? Will it meet the speed target by controlling thrust or pitch? Here, the interface provides very little help. The available indications have been likened to a keyhole through which one peeks at the state of the automation. Determining the functional consequences of mode selection from the character string that names the mode requires complex reasoning. This task could impose a cognitive workload like that encountered in a non-automated airplane while computing the rate of descent required to make a crossing restriction – or perhaps worse if the pilots are unsure how to figure out the functional consequences of the engaged mode. Consider the three descent modes: VNAV PTH, V/S, and MCP SPD. If a pilot is instructed to expedite the descent, what action should he take? Some options are to increase the speed shown in the MCP speed window, extend the speed brakes, and to click off the autothrottles and increase thrust. Increasing the speed will increase descent rate in VNAV PTH and MCP SPD. Extending the speed brakes will increase descent rate only in MCP SPD. In VNAV PTH it will decrease the descent rate. Adding thrust will increase descent rate in VNAV PTH mode, but will decrease the descent rate in MCP SPD mode. The point is that the functional consequences of alternative modes can be quite different.

The airspeed tapes in many modern cockpits present a less widely recognized problem. To see what the problem is, we need to look first at the instruments that the tapes are intended to replace. Figure 8 shows the airspeed indicator in the Boeing 757/767.

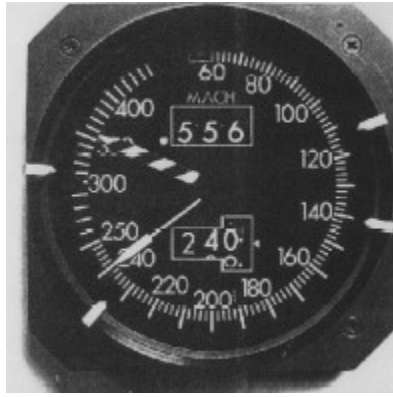


Figure 8. Airspeed indicator from the Boeing 757/767

This traditional instrument has some very nice display properties. It maps the abstraction of speed onto the physical space of the speed scale. This mapping permits the pilot to do the conceptual work of reasoning about relationships among speeds with fast robust perceptual processes. With this instrument it is easy to see, even in peripheral vision if one is fast or slow. The full operating speed envelope of the airplane is always visible. The command airspeed bug is just 10 knots wide in the region of the speed scale where approaches are flown. This permits a pilot to know whether the speed is within ± 5 knots of target without doing any mental computation at all. The designers of the instrument chose this width for the command airspeed bug so that it would never hide more than one large tick mark at a time². Pilots have discovered that they can put this feature to another use.

Round-dial airspeed indicators are now being replaced by airspeed tapes. A lot has been said over the past 30 years about the advantages and disadvantages of tape displays. Rather than repeat all that, let me point out that the issues have less to do with the overall format of the display, tape versus round-dial, than they do with the ways the properties of the display can be exploited by pilots while doing their jobs.

² Personal communication with the designer of the 757/767 airspeed indicator instrument, September, 1991.



Figure 9. A primary flight display. The airspeed tape is on the left, altitude tape on the right.

At least one fatal accident has been linked to loss of speed awareness while flying with a digital airspeed tape. Many pilots complain that they are not comfortable using the tapes, but they have difficulty explaining why they are not comfortable. The traditional information processing psychology theory would predict that these displays should be as useful and usable as the electromechanical displays they replaced. The tapes provide the pilots with an accurate and readable representation of the airplane's airspeed. Common sense tells us that indicating the airspeed must be the function of the airspeed indicator, but a careful examination of what pilots do shows that they get more than an indication of current speed from the airspeed indicator. With such a tape display, it is possible to know if one is fast or slow relative to a target speed, but it is not so easy to know if one is fast or slow relative to the current operating envelope of the airplane. And unlike the electromechanical displays, it is not possible to know much at all about airspeed from the tape without looking directly at it³.

In these brief descriptions I have tried to show how attending to the patterns of information flow in the flight deck system can help to

³ In fairness, it must be noted that the new airspeed tapes include a number of kinds of useful information that cannot be displayed by electromechanical instruments.

explain why some interventions work well and others do not. Let's now look at how the patterns of information flow produce important cognitive properties of flight deck systems. To see how these effects are produced, we need a wider view of information flow, asking what information goes where, when, and under what conditions.

TRAJECTORIES OF REPRESENTATIONS

Open interfaces

The feature of design that most influences what information goes where on the flight deck is the physical location of displays, controls, and pilots. Physical locations determine how accessible items are to individual actors and the extent to which interactions with items can be shared by the members of the flight crew. These ideas have been part of flight deck design for decades. Items that are located in shared space and that are easily accessible to both crewmembers, so that each can see what the other may be doing with the item, can be said to have an "open interface." They also support a kind of low-cost monitoring in which each crewmember can maintain awareness of the actions pursued by the other without investing cognitive resources in seeking out information about the actions of the other.

Consequential Communication

Segal (1995), in a recent paper on design as the choreography of teamwork, provides one of the few attempts to track unintended as well as intended movements of information in a cockpit system. He defines a concept of "consequential communication" as "situations in which engagement in the control task provides operators with information that is relevant to team coordination." Consequential communication makes verbal communication redundant in a situation where redundancy is a desirable property.

Auditory Icons

Most cockpit displays are visual displays, so spatial placement for vision is the key to their accessibility. Auditory displays are accessible in a different way, so information represented in auditory signals forms different kinds of patterns of information flow. Modern cockpits are equipped with many auditory alerting systems. It seems ironic that over the years so many alarms have been added to cockpits while cockpits have simultaneously been stripped of many useful auditory cues. In the old 727 cockpit, the sound of the trim wheel spinning was an incidental

cue that a trim adjustment was in progress. In such a cockpit, a trimming action could become an instance of “consequential communication” because the pilot not flying could know that the pilot flying was adjusting trim without doing anything in particular to seek that information. HCI researchers have recently been exploring a concept they call “auditory icons.” Auditory icons are characteristic sounds that accompany actions. It is possible that we should be adding sounds to the cockpit in the form of auditory icons. Describing a groupware interface that uses auditory icons, Gaver and Smith (1990) note, “the sounds perform the dual role of providing feedback to an acting user as well as new information to others. The addition of sound seems to add to the feeling of presence of other users, and to aid in coordinating activities. Sounds that provide confirmatory feedback to one user might well be the only indication to another that certain actions are being performed” (Gaver and Smith, 1990, p. 568). Auditory icons could be a rich source of information about the status of the airplane and especially about what the automation or another pilot is doing to control the airplane. Why not have auditory icons for autoflight mode engagement events?

REDUNDANT PROCESSING

Patterns of information flow that create multiple representations of the same states of affairs and redundant processing of similar information enhance system robustness. For example, the procedural practice of having both pilots monitor the active air traffic control (ATC) frequency whenever possible creates a pattern of redundant processing and a socially distributed memory for clearances. This gives the flight deck system a property of graceful degradation in the face of local failures. Such patterns of information flow also support error detection, since error detection depends on the comparisons among representations of the same thing developed from different sources or via different processes.

Common ground

In the context of shared knowledge, patterns of information flow that encourage socially distributed processing of task relevant information lead to the formation of shared expectations about task performance. Shared expectations are the foundation of what is known as common-ground or intersubjective understandings. Intersubjective understanding is a critical component of the establishment of the meanings of words and actions. It is through intersubjective understanding that even the

absence of action can become meaningful in interactions among member of the crew (Hutchins and Klausen, 1998).

For example, when the pilot responsible for communications with ATC fails to respond to a clearance, the absence of a response becomes a very meaningful event for the other crewmember. The meaning given to that absence of action depends on the fact that both crewmembers know what is expected and each knows that the other knows what is expected.

The need for a framework that helps us understand cognitive systems larger than an individual become even more apparent when we consider how cultural factors may affect flight deck performance characteristics. Cultural factors nearly always manifest themselves in the interactions among individuals, and therefore require a theory that reaches beyond the cognitive properties of the individual. Problems of cross-cultural interaction often arise as breakdowns of the "common-ground" due to the lack of sharing of expectations (Perez-Chavez, 1998). Culture is not just something that other people have. It is not something that only appears when people from different backgrounds interact. These circumstances make culture more visible, but culture is present in every moment of every human activity. An American crew flying an American-made airplane in American airspace is a system full of cultural issues. Even if there were only one culture on earth, we would still need a cultural approach. Language is a system of conventional symbolic behaviors. Even if there were only one language on earth, linguistics would still be needed to explain the organization of language behavior. Language gives meaning to words. Similarly, an understanding of culture explains the organization of conventional non-linguistic behavior. Culture gives meaning to action. Cultural issues are essential to the design of safe systems, but they are mostly misunderstood and assumed to be someone else's problem.

CRM and the altitude change procedures described above produce patterns of information flow among pilots that create redundant representations and intersubjective sharing. The green arc on the moving map display illustrates patterns of information flow between pilots and displays and controls that permit conceptual processes to be cleanly mapped into perceptual and motor processes. This permits pilots to use fast, robust perceptual and motor processes to do tasks that would otherwise require brittle reasoning skills.

The problematic cases, autoflight mode management and the airspeed tape, produce patterns of information flow among pilots that fail to

create redundant representations and intersubjective sharing. They create patterns of information flow between pilots and displays and controls that fail to provide clean mappings from conceptual processes to perceptual and motor processes.

These examples highlight the embodied nature of cognition and the social distribution of cognitive processes. They also suggest that features of design may affect patterns of information flow which, in turn, produce cognitive consequences for the flight deck cognitive system.

AIRSPEED INDICATORS

Let's look forward now. How could this framework help us design displays or procedures for the problematic cases of the airspeed indicators and autoflight mode management?

Consider the round-dial ASI again. The traditional round-dial airspeed indicator provides an example of a display with some useful perceptual properties. In particular, by placing speed bugs on the perimeter of the instruments, pilots are able to imagine conceptually meaningful regions of speed-space. The construction of these conceptually meaningful regions on the face of the instrument permit flightcrews to manage the correspondences between airspeed and required wing configuration by using fast and robust perceptual processes rather than slow conceptual processes (Hutchins, 1995b). As was the case in the use of the green arc, the required computations are in the interaction of the flightcrew with the instrument, rather than inside either the device or the person.

As technology changes, there is always a danger of discarding useful properties that were not recognized in the replaced technology. In their current form, the airspeed tapes that have replaced round-dial instruments in the state-of-the-art cockpits defeat some of the perceptual strategies of pilots. The new instruments offer few perceptually salient cues that pilots can map to their concept of fast/slow in the performance envelope of the airplane. This requires pilots to read the displayed speed as a number and to subject the representation of that speed to further symbolic processing in order to answer the questions that were answered simply by looking at the earlier display.

Boeing's next-generation (NG) cockpits offer a digital round-dial airspeed indicator. This display preserves virtually all of the useful

properties of the old electromechanical airspeed indicator and adds in the advantages of digital display (labeled speed bugs for example.)



Figure 10. The primary flight instruments in the Boeing Next-Generation cockpit. The digital recreation of the electromechanical mach/airspeed indicator (MASI) is at the upper left.

I predict that pilots will like this instrument, but it doesn't help with the problem of how to redesign the tape. According to the embodied cognition view, two of the most important features of the electromechanical airspeed indicator are first, that its gross physical appearance is different when the airplane is going fast than when it is going slow and second, that the entire operating envelope is always visible. These features permit pilots to develop economical cognitive strategies for interacting with the display. The airspeed tape displays destroy these features. The overall appearance of the display is the same regardless of speed, and usually the limits of the speed envelope are not displayed. The NG airspeed indicator restores these properties by copying the old device. Now that we have an idea what the critical features are, we could add them to the tape display.

Figure 11 illustrates an airspeed tape display that always shows the current operating envelope and permits pilots to know where the current speed is in that envelope. The relative position of the speed box in the display changes with changes in speed. It is perceptually salient and permits the pilot to judge the relative speed of the airplane in peripheral vision.



Figure 11. *Airspeed tape from the Integrated Mode Management Interface.*

The creation of shared spaces that can be partitioned into conceptually meaningful regions supports the development of common-ground understandings, consequential communication, error checking, low cost monitoring, and the substitution of robust perceptual processes for vulnerable conceptual processes.

THE INTEGRATED MODE MANAGEMENT INTERFACE

We are just beginning to understand what the design criteria could be for a human centered design philosophy that goes beyond the individual human operator and takes seriously the notion that the crew consists of two or more operators interacting with a complex information technology. Let me briefly present an example of an attempt to do such a design.

I have claimed that the perceptual aspects of the display should map cleanly onto the underlying conceptual distinctions made by pilots in doing their work. In order to apply this insight to the problem of autoflight mode management, we would need to know what conceptual features pilots have in mind or should have in mind when they are thinking about autoflight modes. I have been conducting a study to discover the mental models used by pilots to understand autoflight modes – especially vertical navigation modes. To do this I have had pilots make judgements of the similarity of triplets of annunciated mode names. These judgements can be used to reconstruct conceptual

distance among modes and plot the results in a concept space as shown in figure 12.

There are two principal dimensions to this space. The modes are implicitly categorized in terms of the source of the performance target (MCP or FMC) and by the type of target (pitch to speed or pitch to path). This indicates that these two distinctions are conceptually salient for pilots.

The integrated mode management interface (IMMI) is a prototype of a graphical user interface to the autoflight system of a state-of-the-art cockpit (Hutchins, 1997). The design of the IMMI is grounded in this study of the conceptual models employed by pilots when they reason about the behavior of the autoflight system. Each of the major conceptual distinctions used by pilots in reasoning about the autoflight system is represented in the IMMI by a perceptually salient feature. For example, The source of the performance target for an autoflight mode (e.g., MCP or FMCS) is indicated by the physical location of an icon denoting the mode. Icons that appear between the tapes depict modes in which targets are set by the FMCS. Icons that appear outside the tapes refer to modes in which the targets are set by the crew. The type of performance target (e.g., pitch-to-speed mode versus pitch-to-path mode) is indicated by the position of the icon near the speed tape or altitude tape respectively.

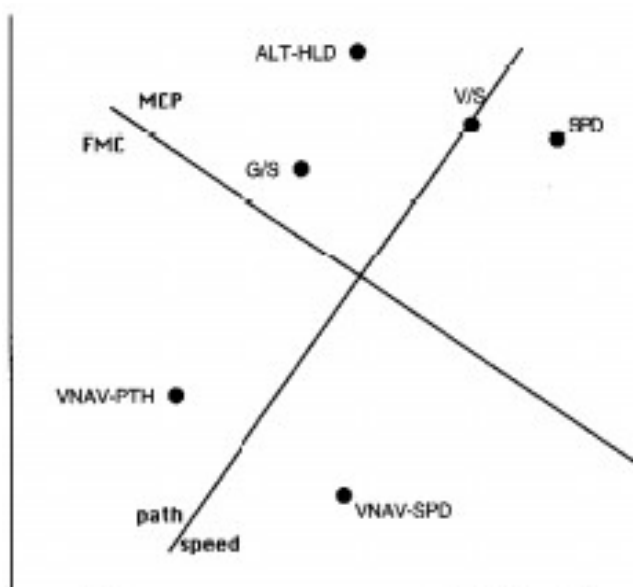


Figure 12. Conceptual space for the mode names. Distance between each pair of mode names reflects the judged similarity between the modes.

Engagement status of the mode (e.g., available-for-arming, armed, engaged) is indicated by the color of the icon. The IMMI provides the flight crew with a display in which it is easy to establish conceptually meaningful regions of space. The interface as implemented in the Advanced Concepts Flight Simulator at the NASA-Ames Research Center provides the flightcrew with a shared and accessible control/display system. The representations required to understand the current autoflight mode, to make changes to the mode, and to evaluate the consequences of changes are all located in the same place. This open-interface design promotes patterns of information flow that support the creation of common ground understanding.

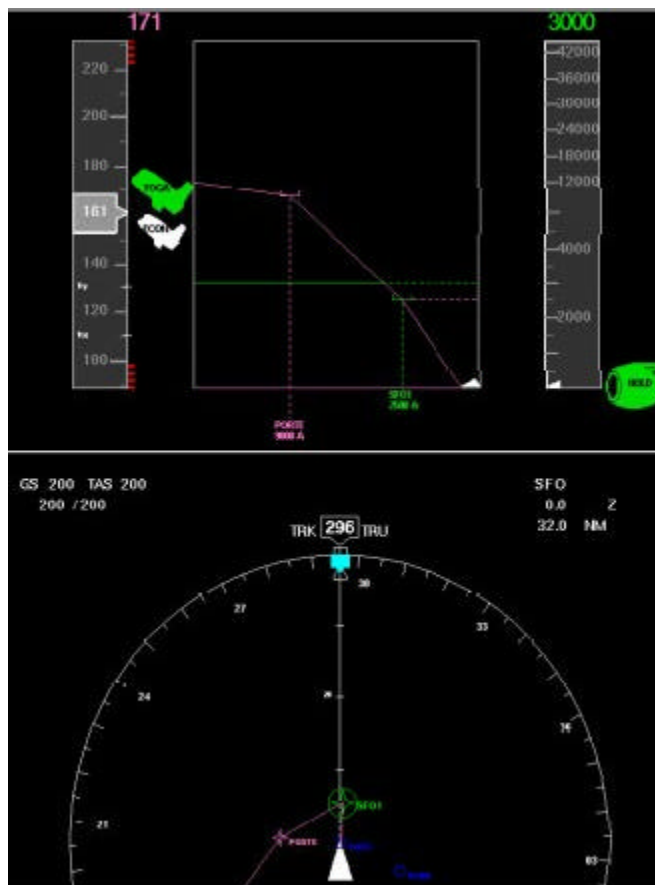


Figure 13. The integrated Mode Management Interface (IMMI).

CONCLUSION

These considerations suggest guidelines for design expressed in terms of the patterns of information flow. In general we should design to create redundant processing across persons, but not within them. This means we should take advantage of opportunities to create consequential communication. We should design for simplicity of processing and shortened trajectories of information within persons. Where possible, we should reduce the number of representations or representational forms that are required to guide the appropriate behavior. Sometimes the cost of changing to a different representation is compensated for by the ease of computation in the new representation. Where possible, the automation should be given the job of transforming representations into forms that are easy for people to use. In general, we should not use automation to make decisions for pilots. Rather we should use automation to present pilots with representations of decision spaces in which conceptually meaningful distinctions in the decision space are represented by perceptually meaningful distinctions in the appearance or behavior of the display. In such systems, pilots can maintain their engagement with the task of flying and operating the airplane in an embodied rather than disembodied manner. We should be building environments for action in which pilots get to be smart by doing what is easy for them to do. In this way we could bring pilots back into the loop and make them operators in a meaningful world of action rather than supervisory controllers of a fully automated process.

Our current generation of flight deck systems contain many robust and reliable subsystems. I hope the framework I have described here can help to identify patterns in the current operations that work well and suggest ways that future designs can preserve what is good about the current system and perhaps help us to make systems that are even better in the future. Engineers would not think of removing the redundancy of the avionics systems. If we do not understand these patterns on the crew side of the instrument panel, we are at risk of inadvertently disrupting beneficial patterns of information flow.

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