Intersubjectivity, Mimetic Schemas and the Emergence of

Language

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RESUME : Intersubjectivité, schémas mimétiques et l'émergence du langage. L'argument central de cet article est que l'intersubjectivité constitue une propriété essentielle de l'esprit humain. La première partie développe cet argument en contrastant la question de l'intersubjectivité avec la question de la théorie de l'esprit, qui est la base même de la théorie classique de la cognition sociale. La deuxième partie de l'article propose une version particulière de la thèse de la primauté de l'esprit partagé, une version basée sur la notion de mimétisme corporel, c'est-à-dire la capacité d'utiliser notre corps pour ressentir les émotions d'autrui, comprendre ses intentions et finalement comprendre et exprimer des intentions de communication. De prime abord, le mimétisme corporel a lieu entre les gens (et à un moindre degré, des autres animaux évolués, comme les grands singes et les dauphins) mais il est progressivement internalisé sous la forme de schémas mimétiques (Zlatev 2005, 2007); ces schémas mimétiques sont des concepts préverbaux dont certaines propriétés contribuent à expliquer l'émergence du langage en tant que système sémiotique, conventionnel et normatif. De façon dialectique, l'intersubjectivité est la condition préalable de l'émergence d'un tel système sémiotique, qui la développe et la reconfigure en retour, faisant ainsi des êtres humains la quintessence de l'"espèce intersubjective". Finalement, l'article propose une manière partiellement nouvelle d'expliquer l'autisme.

MOTS CLE: cognition sociale, imitation, mimétisme corporel, représentation, conscience, autisme

ABSTRACT: In this article I argue that intersubjectivity constitutes an essential characteristic of the human mind. First, I explicate what this means, contrasting intersubjectivity with the more common approach to social cognition based on the concept of "theory of mind". Furthermore, I propose a particular version of the thesis of the primacy of the shared mind based on the notion of bodily mimesis: a capacity to use our bodies in feeling the emotions of others, understanding their intentions, and eventually understanding and expressing communicative intentions. Bodily mimesis first takes place between people (and to a lesser degree, other higher social animals like apes and dolphins) but is gradually internalized as mimetic schemas (Zlatev 2005, 2007): preverbal concepts which possess a number of properties which can help explain the emergence of language as a conventional-normative semiotic system. In a dialectical fashion, intersubjectivity serves both as a prerequisite for its emergence, and is further developed by it, making human beings the quintessentially "intersubjective species". Finally, I suggest a partially novel approach to explaining autism.

KEYWORDS: social cognition, imitation, bodily mimesis, representation, consciousness, autism

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1. INTRODUCTION

"We are primarily *individuals*, living in our separate mental worlds, and occasionally connecting to other individuals through the imperfect means of language." From Descartes (1968 [1637]) and Leibniz (1973 [1787]) to Chomsky (1975) and Fodor (1983), this has been the predominant view of the human mind in the West. But this view comes with its costs: the spectre of solipsism, the gulf to "other minds", the difficulty to account for the possibility of mutual understanding, and even language itself. A reaction has been the retreat into "behaviour", of either the philosophical (Ryle, 1949) or the psychological varieties (Skinner, 1953), but such a stance throws out the baby – consciousness – along with the individualist bathwater (Maslin, 2000; Baars, 2003).

Not surprisingly, a number of thinkers from different traditions such as phenomenology (Husserl, 1999 [1907]; Merleau-Ponty, 1945; Gallagher, 2005), analytical philosophy (Wittgenstein, 1953; Davidson, 2001; Hutto, 2004), social-cultural psychology (Vygotsky, 1978; Bruner, 1990) and infant psychology and psychoanalysis (Stern, 1985; Hobson, 2004) have objected to *both* cognitive individualism and behaviourist anti-subjectivism. To the first – for distorting the reality of our lives as cultural beings and failing to account for the essentially social nature of language; to the second – for draining our lives of experiential content. Such thinkers have tried, in their various ways, to elaborate a third way between the two extremes, and this is the alternative of *intersubjectivity*. Basically, such an approach claims that we are fundamentally interconnected through shared emotions, practices, understandings, and (eventually) language – and on the background of this "shared mind" our individualities emerge like islands on a sea of primary interconnectedness.

In this article I clarify the intersubjective perspective on the human mind by first contrasting it with a more common approach to social cognition using the concept of "theory of mind" (e.g. Baron-Cohen, 1995). I will then propose a particular version of the thesis of the primacy of the shared mind which views it as based on our capacity for *bodily mimesis:* a capacity to use our bodies as resonance boxes, so to speak, in feeling the emotions of others, understanding their intentions, and eventually for understanding and expressing communicative intentions. As in the famous Vygotskyan dictum of the interpersonal preceding the intrapersonal in development, bodily mimesis first takes place *between* people (and to a lesser degree, other higher social animals like apes and dolphins) but is gradually internalized as covert *mimetic schemas* (Zlatev, 2005; 2007), which are used in thought as well as action – thought being a covert form of action.

My argument is that mimetic schemas constitute preverbal concepts which possess a number of properties which can help explain the emergence of language as a "socially shared symbolic system" (Nelson and Shaw, 2002). The last feature discussed, their intersubjective nature, ties in with the first theme, and suggests a partially novel approach to explaining autism. I conclude by summing up the ways in which mimetic schemas help explain the ontogenetic emergence of language – which constitutes, in a dialectical fashion, both a product of intersubjectivity and a factor for the further development of "the shared mind" (Zlatev et al., in press).

2. INTERSUBJECTIVITY VS. "THEORY OF MIND"

Intersubjectivity can be most generally defined as *the sharing and/or understanding of others' experiences.*¹ This includes not only beliefs and other "propositional attitudes", but phenomena such as emotions, attentional states and intentions. Crucially, it does *not* imply that mental states are initially *private*, and only secondarily, through inference or simulation, can be projected onto others. Rather, the assumption is that some basic forms of human consciousness are intersubjective from the start.

While this notion is well-established within the phenomenological tradition (e.g. Husserl, 1999 [1907]; Merleau-Ponty, 1962 [1945]; Gallagher, 2005, Chapter 9), and in some of the developmental literature (e.g. Trevarthen, 1979; Stern, 1985), the dominant approach to social cognition within psychology and cognitive science is that of "theory of mind" (ToM). The difference between the two traditions is considerable. From the ToM perspective, the original privacy of mind is most often taken for granted and the question is how the child gains an understanding of "other minds". The two main alternatives are either through a conscious or unconscious theory, positing mental states and processes to explain observable behavior (e.g. Baron-Cohen 1995), or through - again conscious or unconscious - simulation of the other's mental activity within one's mind, and then "projecting" this onto the other (e.g. Goldman 1989). To account for ontogenetic development ToM models such as that of Baron-Cohen (1995) are forced to adopt innate "modules" such as "intention detection" (ID) and "eve-direction detection" (EDD) and building on these, at least a partially innate "shared attention mechanism" (SAM).

The existence of such structures is, however, questionable on philosophical, neuroscientific and evolutionary grounds (Hutto, 2004, in press). The basic error of most ToM approaches (as typical for "computational" cognitive science, cf. Varela Thompson and Rosch, 1991; Searle, 1992) appears to be that they postulate mechanisms on the basis of introspective analysis of fairly advanced cognitive skills such as false-belief understanding – and then *project* these mechanisms onto the unconscious "sub-personal" level.

According to the perspective of intersubjectivity, the child's initial encounter with others is neither theoretical, consisting of beliefs and hypotheses about others' mental states, nor a matter of "simulating" these, but rather of perceiving these *directly* in engaged dyadic interactions, and furthermore that such primary intersubjectivity is our normal way of dealing with others even in adulthood:

For we certainly believe ourselves to be directly acquainted with another person's joy in his laughter, with his sorrow and pain in tears, with his shame in blushing, with his entreaty in his outstretched hands ... And with the tenor of his thoughts in the sound of his words. If anyone tells me that this is not "perception", for it cannot be so, in view of the fact that a perception is simply a "complex of physical sensations" ... I

¹ This includes pre-verbal as well as verbal experience ("shared meanings"), involving processes of affective, perceptual and reflective consciousness such as empathy, joint attention and folk psychology. Thus I include what others (and an anonymous reviewer) refer to as "socialness" within the concept of intersubjectivity. At the same time, it is possible to define *types* of intersubjectivity and to trace their development in phylogeny and ontogeny, as suggested in this essay and in previous work (Zlatev, in press).

would beg him to turn aside from such questionable theories and address himself to the phenomenological facts. (Scheler, 1954, cited in Gallagher 2005, p. 228)

At the same time, such claims of "direct" or "primary" intersubjectivity need to be qualified in at least two ways. Firstly, the directness is phenomenological, i.e. on the level of *experience*, and not on the level of mechanism. There are certainly a number of complex neural structures and processes making intersubjective sharings possible, while at the same time segregating between "my own" from "your" actions. A number of plausible candidates for such mechanisms involving "mirror neurons" (Rizzolatti et al., 1996; Gallese and al., 2004) and "shared representations" (Georgieff and Jeannerod, 1998; Decety and Chaminade, 2005) have been proposed during the past decade. Still, as long as the operation of such mechanisms is not experienced itself but only their outcomes, this does not invalidate the "phenomenological facts" referred to by Scheler in the quotation above. Furthermore, such structures and processes are *not* similar to the presumed ToM "modules" since they are both non-computational and cross-modal, rather than "encapsulated" (Fodor, 1983).

Secondly, it is not the case that direct, interactional understanding of others *exhausts* our capacity to understand their minds. We are, after all, capable of *re-enacting* their actions, either overtly through imitation, or covertly in imagination and such processes are (phenomenologically) distinct from perception. In some cases we may even "theorize" in a detached way about their motivations and knowledge, once we have the semiotic resources given to us by a public language to represent these as "states" and "beliefs". At the same time, rather than simply contrasting such skills with (primary) intersubjectivity, it is possible to account for their development in terms of a progression of stages (e.g. Tomasello et al., 2005; Zlatev and al., 2005) and thereby to account for the evidence called upon by both simulation-theorists and theory-theorists without assuming innate modules.

Another difference between the perspective of intersubjectivity and that of ToM is that the first is closely related to the *body* (both the biological "living" and the phenomenal "lived" body) of the subject and of the Other. The classical phenomenologists argued that the direct perception of the mental phenomena, including the emotions, of others is made possible by one's "corporeal schema" which is pre-reflectively matched to the bodies of others, allowing seamless identification. The recent neuro-cognitive models mentioned earlier based on similar activations in premotor, prefrontal and parietal brain areas during performing, perceiving or imagining an action are compatible with the phenomenological analyses. However, such models are often formulated in terms of "neural simulations" (Gallese and Lakoff, 2005), which is ambiguous between "subpersonal" unconscious processes and conscious imagination, and the two need to be distinguished. In the first case, the term "simulation" is superfluous, as argued by Gallagher (2005, p. 221):

... since mirror neurons involve extremely good examples of intermodal perception (translating vision into proprioceptive and body schematic registrations), the most parsimonious simulationist account is that when we perceive another person's actions, that perception registers in the mirror system as already a first-person model of what such actions would be if they were the perceiver's own actions.

Apart from perception, action and imagination, mirror neuron systems are also implicated in the *imitation* of others' actions (Rizzolatti and Arbib, 1998; Arbib, 2003, 2005; Decety and Chaminade, 2005). While phenomenologists have mostly tended to link intersubjectivity to bodily *perception*, other thinkers have tended to emphasize imitation as a more active mode of understanding others, as well as of oneself. Baldwin (1894, p. 42) famously asserted: "My sense of myself grows by imitation of you and my sense of yourself grows in terms of myself", proposing a model according to which imitation serves as the primary means through which infants learn both about others, and develop selfconsciousness through a dialectal process summarized by Zelazo and Lourenco (2003, p. 60) as follows:

The dialectic starts with the presence of action that is (at least partially) outside of one's behavioural repertoire, and hence, viewed in terms of its outward or *projective* elements. By imitating, one comes to comprehend the *subjective* side of it; for example, one comes to appreciate the affect that accompanies it, or the effort involved. Once this happens, one tends automatically to eject this *subjectivity* back into the original behaviour.

Piaget (1951 [1945]) viewed imitation as the major source of deriving the first internal representations which he called "pre-concepts", suggesting the following developmental progression: (1) *sensorimotor imitation:* in which the model's action is imitated immediately following the stimulus; (2) *deferred imitation:* in which the performed action is a copy of an action removed in space and time, either of another, or of oneself; (3) *representational imitation:* in which "the interior image precedes the exterior gesture, which is thus a copy of an "internal model" that guarantees the connection between the real, but absent model, and the imitative reproduction of it." (Piaget, 1951, p. 279). The pre-concepts that emerge through imitation can be said to constitute internalized, generalized imitations, which prior to being intra-personal have been inter-personal, in a way that is reminiscent of Vygotsky (1978).² Piaget was therefore not such as "individualist" as presented in most textbooks, and it is possible to argue that his notion of representation and symbolization rests on intersubjectivity (Zlatev, 2005, see also Section 5.5).

Gopnik and Meltzoff (1993, p. 352), otherwise proponents of a non-innate, gradually constructed "theory-theory" of mind, argue that "normal children are innately endowed with the capacity to imitate others. This provides a social bridge between the newborn and caregivers." In earlier work (Zlatev, 2000) I proposed that such an original "bridge" of pre-reflective intersubjectivity serves as the basis and necessary precondition not only for subjectivizing the Other, but of "objectifying" (i.e. explicitly conceptualizing) one's sense of self, moving from a largely unconscious *body schema* coordinating movements to a consciously accessible *body image* (cf. Gallagher, 2005, for the distinction between the two concepts).

 $^{^{2}}$ At the same time, this typical sequence cannot be claimed to be a necessary invariant: sensorimotor imitation may be denied to children with motor disabilities, but they can nevertheless identify with others, and engage in covert imitation, i.e. imaginary re-enactment (see Section 5.4). Nevertheless, this would imply internalization (appropriation) of the inter-personal and would not prevent intersubjectivity and mimetic schemas from developing.

The term "imitation" is quite ambiguous in the literature, with some authors applying it to even the most simple acts of copying the motion of another, and others insisting on high-level cognitive processes such as the understanding of the model's goals/intentions. One way to resolve such disagreements is to regard imitation, like intersubjectivity, as a non-unitary phenomenon and as Piaget (1951) to see it on several different evolutionary and developmental levels. One of the most basic forms of imitation, *neonatal mirroring* (Meltzoff and Moore, 1978, 1983) does not require any explicit differentiation between the subject and the model. Thus, it corresponds to "primary" (Trevarthen, 1979), or "proto-mimetic" (Zlatev and al., 2005) intersubjectivity, which requires neither (true) representations nor simulations (cf. Gallagher, 2005, Chapter 9). Importantly, such imitation has also been demonstrated in chimpanzee neonates (Myowa-Yamakoshi, 2004) while "true" imitation, involving the copying of both the means and the goal of novel actions, is something in which apes may have some basic abilities (Whiten et al., 2004), but which is much more developed in our species (Donald, 1991; Tomasello, 1999; Arbib, 2005). The relationship between imitation and intersubjectivity is a matter of current controversy: is understanding the "intentions" of others a prerequisite for imitation or vice versa (cf. Hurely and Chater ,2005)? Using a broad, stagebased concept of imitation, allows us rather to view the two as co-evolving (Zlatev, in press) and co-developing: "simple" forms of imitating the actions of others, overtly or covertly, allows for better understanding of their subjectivity and thus intentions. When children become experts in this, they also become better imitators, being able to distinguish between the means and goals of an action, and thus to engage in "true" imitation (Tomasello, 1999).

It has been my proposal that the concept of *bodily mimesis*, constituting a generalization of imitation, imaginative re-enactment and gesture, can unify most of these perspectives and findings, and at the same time explain the possibility for language to arise (Zlatev, 2000, 2002, 2003, 2005, 2007, in press). I will elaborate on this in Section 4, but prior to this we need to address the fundamental question "What is language?" and relate the answer to intersubjectivity.

3. THE NORMATIVITY OF LANGUAGE AND ITS BASES

Language, often characterized as the only feature that distinguishes our species (e.g. Chistiansen and Kirby, 2003), has been defined in widely different ways depending on the theoretical biases of linguists, who are proverbial for having quite contradictory conceptions of the nature of their object of study: a "hidden code" (Sapir, 1928), a "mental organ" (Chomsky, 1975), a "computational device" (Jackendoff, 1983), an "instinct" (Pinker, 1994) etc. What all such accounts miss is the essentially *social* nature of language, with *normativity* as a central characteristic (Itkonen, 1978, 1997, 2003). As pointed out famously by Wittgenstein (1953) knowledge of language implies knowing certain *criteria of correctness* and such knowledge cannot be (radically) private, but must be shared within a community.³

³ Cf. "... a person goes by [i.e. follows] a sign post only in so far as there exists a regular use of signposts, a custom... Is what we call "obeying a rule" something that it would be possible for only *one* man to do and to do only *once* in his life? ... – To obey a rule, to make a report, to give an order, to play a game of chess are *customs* (uses, institutions)." (Wittgenstein, 1953: §198, §199)

Such sharing can take somewhat different forms, in a cline from being mutually known (Clark and Marshal, 1983) to being distributed within the community (Putnam, 1975). In the first case, all speakers of English have certain shared intuitions that, say: An elephant is an animal is a correct (truthful) assertion, while An elephant is a number is an incorrect (false) one. Since these intuitions are normative, i.e. intuitions about what people *aught to* say, speakers of English are justified in expecting them to be shared by other competent speakers of the language. In this way, the norms of English (or any other language) are not only known, but known to be known, i.e. mutually known, without the need for such knowledge to be "explicitly represented" in the minds of speakers. Thus, linguistic normativity suggests a resolution to the problem of the "psychological implausibility" of mutual knowledge (cf. Sperber and Wilson, 1995), at least as far as semantics and grammar are concerned. In the second case there is a "division of linguistic labor": I know that I can for instance use the encyclopedia to find out what the exact differences between oak and ash may be. Somewhat intermediate are cases where I do have intuitions of correctness, but I am less certain, possibly due to dialectal variation, e.g. is it better to say "on my view" or "in my view"?

Despite such differences, in all these cases the inherently social, intersubjective and (in most cases) normative nature of language is irreducible to individual minds, and even less so to individual brains, as certain "physicalist" theories (e.g. Gallese and Lakoff, 2005) persistently claim. After this (admittedly rather too brief) discussion, we may define language succinctly as *a conventional-normative semiotic system for communication and thought*. This may be an underrepresented definition in both linguistics and cognitive science, but is one that can be found reflected in the work of Lewis (1969), Itkonen (1978, 2003), Clark (1996) and Tomasello (1992, 1999).⁴

Given this characterization of language and that of intersubjectivity offered in the previous section, it becomes clear that there is an intimate relationship between the two. But how can we characterize this relationship more precisely? It is possible to argue, along with e.g. Bloom (2000) that without some basic skills of intersubjectivity, language would be unlearnable: "...it is impossible to explain how children learn the meanings of a word without understanding of certain non-linguistic mental capacities, including how children think about the minds of others." (Bloom 2000: 2). The problem with this statement and Bloom's theory is however that it employs the conceptual apparatus of "theory of mind", and often attributes unnecessarily complex understandings of others' mentality to pre-linguistic children. A multi-level, and multi-process account of intersubjectivity is immune to this, and is fully compatible with the claim that the process of learning a language itself, as a conventional-normative semiotic system, develops the social cognition of children to the extent of mastering the concept of (false) belief (Tomasello, 1999; Hutto, 2004). There is, for example, substantial evidence for a correlation between successful performance on language proficiency and false-belief tasks, with the first predicting the second rather than vice versa (Astington and Jenkins, 1999; Lohmann and Tomasello, 2003).

⁴ Cf. "Linguistic symbols are social conventions that package cognition in a way that human beings have found useful for communication" (Tomasello, 1992, p. 215).

In sum, the relationship between intersubjectivity and language seems to be one of co-development in ontogeny, and (possibly) co-evolution in phylogeny: a certain degree of intersubjectivity is necessary for making language possible, while the latter on its part contributes to the formation of the "mediated mind" (Nelson 1996). The crucial question is: what is it that makes language possible in the first place and starts the co-evolutionary and co-developmental snowball? This question is heatedly discussed in the literature on language origins nowadays (cf. Tomasello et al., 2005 and Commentary). In this essay I will address the question in the context of ontogeny, though see Zlatev (in press) for a corresponding perspective on evolution.

The first requirement for the *normal* acquisition of language is arguably a basic capacity for intersubjectivity involving identification with others, and a reciprocal emotional relationship with caregivers (Stern, 1985; Hobson, 2004). This does not mean that various degrees of linguistic proficiency cannot be obtained by high-functioning children with autism who lack such intersubjective engagements. It does, however, imply that their way of learning will follow other (and slower) developmental patterns than those of normal children, and that even those relatively few persons with autism who master language proficiently, would deviate in their use of language in social interaction. These predictions appear to be confirmed (Menyuk and Quill, 1985; Bishop, 1989; Tager-Flusberg, 2000). On the other hand, if autism is linked directly to ToM, and a particular empirical (Bloom, 2000) or philosophical (Lewis, 1969) theory of meaning implies that language acquisition or use would be impossible without ToM, then high-functioning children with autism do present "counterexamples" to such theories (Glüer and Pagin, 2003). However, if the relationship is rather between autism and intersubjectivity, both of which are gradient concepts, then what one would expect are correlations between the degree of the autistic syndrome and language impairment, which again appears to be the case (Tager-Flusberg, 2000).

On a more cognitive level, it has been argued that in order to bootstrap into language, both lexically and grammatically, the child needs pre-linguistic *concepts*, rather than simply perceptual (or sensorimotor) schemas (Piaget, 1951; Bloom, 2000; Mandler, 2004). In brief, the arguments are that language cannot be learned through ostensive pairings between expressions and actual events in the "hear and now" since (a) acts of ostension underdetermine the meaning of expressions, (b) referents are in most cases not perceptually given and (c) a large number of linguistic expressions do not refer to specific "basic-level" objects such chairs and dogs, but to actions (e.g. run), states (e.g. all gone), relations (e.g. over) or lack referential meaning entirely (e.g. the, not, democracy). Additionally, Fodor (1975) has famously argued that since language learning requires hypothesis formation and testing, these require on their part a representational medium, a "language of thought" in which to be formulated. Each one of these arguments has been extensively discussed and hotly contested (cf. Sinha, 1988; Nelson, 1996; Bermúdez, 2005). Nevertheless, taken together they do pose a rather heavy burden for theories which aspire to link language and perceptual experience (Plunkett et al., 1992; Elman, 1993) or conditions of use (Zlatev, 1999) directly, i.e. without the mediation, in both language acquisition and use, of conceptual structure which is not (entirely) derived from language itself.

Mandler (2004) attempts to resolve this problem by proposing that children learn language on the basis of pre-linguistic *image schemas* such as

ANIMATE MOTION, CONTAINMENT and PATH. The concept "image schema" derives from Cognitive Linguistics (Lakoff, 1987; Johnson, 1987) where it is claimed to play a pivotal role in the "grounding" of language. However, this concept and the semantic theories dependent on it suffer from a number of problems, mentioned below and elaborated on in Section 5. First, it is far from clear is an "image schema": different theoreticians attribute to it quite conflicting properties (cf. Hampe, 2005; Zlatev, 2005). Second, its leading exponents Mark Johnson and George Lakoff regard it as a nonrepresentational – either interactional or neural – category, which leaves the representational (semiotic) character of language still to be explained. Third, these same authors regard it as part of the "cognitive unconscious" (Lakoff and Johnson, 1999), while it can be argued that linguistic meaning is dependent on consciousness both ontologically and methodologically (Talmy, 2000; Zlatev, 2003, in press). Fourth, some of the hypothetical "image schemas", e.g. VERTICALITY, are quite abstract, in a way to make it difficult to see how they can be acquired independently of language. Fifth, while in principle multimodal (or cross-modal), image schemas are implicitly or explicitly assumed to be based on vision (Mandler, 2004), while blind children are not necessarily cognitively or linguistically impaired. Sixth, and most relevant for this essay: as most usually defined,⁵ image schemas are assumed to be essentially individual sensorimotor categories, rather than intersubjective concepts.

In contradistinction, I have recently proposed (Zlatev, 2005, 2007) the notion of *mimetic schemas*, which are fairly specific, cross-modal, consciously accessible representations based on imitation, and largely shared within a (sub)culture. In the next section, I will provide a definition and in the following one, will explicate each one of their defining properties, which – I suggest – render bodily mimesis and mimetic schemas eligible as a basis for the emergence of language and the development of the shared mind.

4. BODILY MIMESIS

In his influential synthetisizing monograph on human origins, Donald (1991) argues that a form of cognition crucially based on mimesis, and a corresponding "mimetic culture" characterizing the primitive societies of Homo ergaster/erectus mediated between the "episodic" cognition of the common ape-human ancestor and the evolution of language as a dominant mode of human communication. Mimetic representations are according to Donald "conscious, self-initiated, representational acts that are intentional but not linguistic" (ibid, p. 168). More specifically, mimesis involves a number of different skills such as (true) imitation, the re-enactment of actions in imagination - allowing for explicit memory, planning and rehearsal, and the use of iconic and indexical gestures for intentional communication. Others have argued for a similar "mimetic stage" in ontogeny, but have proposed quite different interpretations (Nelson, 1996; Zlatev, 2002, 2003), making it clear that the concept of mimesis requites a more precise definition. Building on Donald's work, but taking into account some of the recent evidence on social neuroscience mentioned in the previous section, as well as evidence on the

⁵ Cf. "An image schema is a recurring dynamic patterns of our perceptual interactions and motor programs that gives rise to coherence and structure to our experience" (Johnson, 1987: xiv), "The most useful way of understanding image schemas is to see them as mental representations of *fundamental units of sensory experience*" (Grady 2005, p. 44).

mimetic capacities of non-human primates, summarized by Zlatev, Persson and Gärdenfors (2005), the concept of *bodily mimesis* can be defined as follows:

Def: A particular bodily act of cognition or communication is an act of **bodily mimesis** if and only if:

- a) It involves a cross-modal mapping between *exteroception* (i.e. perception of the environment, normally dominated by vision) and *proprioception* (perception of one's own body, normally through kinesthetic sense);
- b) It is under conscious control and corresponds to either iconically or indexically – to some action, object or event, while at the same time being *differentiated* from it by the subject;
- c) The subject *intends* the act *to stand for* some action, object or event for an addressee (and for the addressee to recognize this intention);
- d) Without the act being conventional-normative, and
- e) Without the act dividing (semi)compositionally into meaningful subacts that systematically relate to each other and other similar acts.

This definition clarifies a number of issues on the relationship between bodily mimesis and related but distinct phenomena. Condition (a) states that an exteroception-propioception mapping (possibly supported by the "mirror neuron" system) is a necessary condition for bodily mimesis. However, it is not sufficient. If only condition (a) is fulfilled, as is the case in contagion and neonatal mirroring, the subject will be capable only of *proto-mimesis*, but not bodily mimesis proper.

Condition (b) states that a mimetic act needs to be *volitional* and *representational*, as in Donald's original definition, and explicates the notion of "representation" in line with Piaget's (1945) criterion of differentiation between "signifier" and "signified" from the subject's point of view (cf. Sonesson, 2007), adding the requirement that the signifier is a bodily act. Piaget's example of an infant opening and closing her mouth to model the opening and closing of a matchbox would be an example of an *iconic* correspondence. Children's acts of pointing for themselves in order to help guide their attention (Bates and al., 1975) would qualify as *indexical* mimetic acts.

However, if condition (c) is not fulfilled, the acts would involve *dyadic* mimesis. Condition (c) introduces the necessary *triadic* element in order to make bodily mimesis communicative: the representation or sign⁶ is intended to be recognized as such by an addressee, along with the communicative intention itself. This introduces a Gricean element of intentional communication (Grice, 1957), without minimizing the semiotic (representational) aspect of meaning as in Relevance Theory (Sperber and Wilson, 1995). An example of an iconic sign that fulfills all three conditions is the *miming* of eating by pretending to move a spoon to one's mouth (made behind a glass door) in order to communicate to a colleague that it is time for lunch. An indexical mimetic sign would be, for example, a paradigmatic form of *declarative pointing* (Brinck, 2003).

⁶ Similar to e.g. Sonesson (2007), I regard the terms *representation* and *sign* as synonymous (once properly defined). Since these are arguably the pivotal terms of cognitive science and semiotics, respectively, and identifying the two should contribute to the unification of these two different traditions.

Finally, if an act fulfills not only (a)-(c), but also (d) and (e) then it is not mimetic, but rather *symbolic*, since by adding the properties of conventionality-normativity and (semi)compositionality the border between the mimetic and the "post-mimetic" has been traversed, as is the case in language and possibly certain rituals. Notice that conventionality does *not* imply *arbitrariness*: a large proportion of the signs of signed language such as ASL, and some of those in spoken languages have iconic or otherwise *motivated* meanings, without this counteracting either their conventional or normative character (cf. Zlatev, 2003). The transition between (triadic) mimesis and signed language can be observed either in macro-settings such as the emergence of Nicaraguan Sign Language over the span of three generations of signers (Senghas and al., 2004) or in micro-settings, where a signer, in cohort with his addressee, has been observed to transform a mimetic gesture into a "micro-conventional" sign over the course of a single narrative (Taub, 2005).

A few final clarifications need to be made. Both bodily mimesis and language are realized through specific acts, which are originally overt, but both can be internalized and used for thought (Vygotsky, 1962, 1978; Piaget, 1945; Donald, 1991). But internalized, or *covert mimesis*, corresponds to what was discussed in the previous section as the "mental simulation" of actions, or "action images" (Jeannerod, 1997). What is essential, however, is to distinguish this form of conscious imagination from the currently popular notion of "simulation" which conflates consciously accessible from "subpersonal" processes (e.g. Barsalou, 1999; Gallese and Lakoff, 2005). According to the definition of bodily mimesis, such unconscious processes would satisfy condition (a), and would therefore, be proto-mimetic. Also to emphasize once again, the way the term *representation* is used in the definition of bodily mimesis, it presupposes a differentiation between expression and content, or mental image and (perceptual) reality from the standpoint of the subject (Piaget, 1945; Sonesson, 2001, 2007), implying conscious awareness of the representation as such.

5. THE PROPERTIES OF MIMETIC SCHEMAS

On the basis of the concept of bodily mimesis, the second central notion of the theory proposed in this article can be defined as follows:

Mimetic schemas (Def): Categories of acts of overt or covert bodily mimesis.

Prime examples of mimetic schemas with more or less universal status are those which categorize "unbounded" *activities* such as CRYING, RUNNING, CRAWLING and FLYING and "bounded" *actions* such as GRASP-X, PUSH-X, KICK-X and PUNCH-X. Other mimetic schemas will be more or less *culturally specific* such as ICE-SKATING and SHOOT-X.

My contention is that mimetic schemas constitute pre-linguistic concepts which are (a) representational, (b) accessible to consciousness, (c) intermediately abstract, (d) proprioceptively based and (e) pre-reflectively shared. I will explicate these five properties, contrasting mimetic schemas with the construct of "image schemas" when appropriate.

5.1 Representational

Mimetic schemas are *overt or covert representational structures*, but to make this statement meaningful, we must first define the concept of "representation" which has been overextended in much of cognitive science

(cf. Newton, 2003; Sonesson, 2007). A representation is here understood as a structure that consists of three parts: an *expression* that stands for a given *content* for a given *subject*. Thus defined, it is identical with the classical definition of a *sign*. A clear example of a representation is a picture: the depicted apple cannot be eaten, but it represents (in this case *iconically*) an apple that can. The painting itself is the expression, and it is different from, at the same time as it corresponds to, something else. Whether this "something else" is a real specific apple, a generic apple, or an imagined apple is less essential than the *expression-content structure* itself. What "connects" the expression and the content is a process of *interpretation*: representations do not exist by themselves, but only for *someone* (cf. Sinha, 1988, Chapter 2).

Pictures as well as iconic gestures are *overt* representations, but internalized or covert mimetic schemas correspond to what has traditionally been called "mental representations". This is the most controversial notion. "Classical", first-generation cognitive science postulated an overflow of (unconscious) mental representations: in thought, in language, in perception, in practical action (Gardner, 1985). Some representatives of second generation, "embodied" cognitive science (Varela and al., 1991; Johnson and Rohrer, 2007) take the opposite view and more or less reject the notion of representation altogether. From the present perspective, these extremes are equally misguided: to say that a subject has a mental representation is to say that he or she can (a) differentiate between the expression and content, and (b) see the first as corresponding to the second, as in the picture example mentioned above, except that the mimetic schema is perceived in reflective rather than perceptual consciousness. One may ask: Who is the "someone" doing the differentiation and finding the correspondence? There are three types of answers to this question:

- a) An unconscious "subpersonal" (neural) mechanism. The problem with this answer is that postulating such a "homunculus" leads to infinite regress: we need to account for the ability of the homunculus to "see" the expression and content, and "figure out" that the first stands for the second, possibly engaging in a mis-representation. But then we need to account for the mental representations in *its* head, *ad infinitum* (cf. Edelman, 1992).
- b) The standard reply to the above objection within (computational) cognitive science is that the digital computer (or its central processor) operates on "internal representations" and this constitutes and existence proof of the invalidity of the homunculus argument. This reasoning however runs into other problems since such "representations" or "symbols" are meaningful only for someone *else* than the system that is actually using the symbols. But then the representation is not *intrinsic* to the system but to the programmer, or whoever else is doing the "interpreting" (cf. Searle, 1992, 1999).
- c) The *subject* himself or herself, i.e. the conscious person who *experiences* the mental representation as such, i.e. distinguishes it from perception, and imbues it with a qualitative "subjective" tone.

To understand this last, and I believe only truly valid, sense of representation I ask you to close your eyes and *imagine* an apple: would you confuse your imagined apple with the one it represents? On the other hand, when you see an apple, do you think of your perception as a "representation"

of an apple – or as the apple itself? The answers to these questions should be obvious. It is these basic facts about our experience that have been surprisingly overlooked by so many, which lead us to the conclusion that there are no mental representations in perception, but only in imagination (Piaget, 1945; Gibson, 1979; Sonesson, 2007; Zlatev, 2007). Again, this conclusion is based on phenomenological facts and does not state anything about the "underlying" neurological processes, whose nature is an empirical matter.

This account of mimetic schemas as (mental) representational structures stands in stark contrast to the anti-representationalism of (some) proponents of image schemas.

As we said in *Philosophy in the Flesh*, the only workable theory of representations is one in which a representation is a flexible pattern of organism-environment interactions, and not some inner mental entity that somehow gets hooked up with parts of the external world by a strange relation called 'reference'. (Johnson and Lakoff, 2002, pp. 249-250)

Image schemas are thus part of our non-representational coupling with our world, just as barn owls and squirrel monkeys have image schemas that define their types of sensorimotor experience. (Johnson and Rohrer, 2007)

There is a serious problem for such theorists to the extent that they purport to provide an evolutionary and ontogenetic basis for language. Sensorimotor interaction is an inherently non-representational notion, while language *is* representational in two different, though related, respects: (a) it has the expression-content structure of *signs* and (b) statements are *about* (real or imagined) states of affairs (Searle, 1999; Zlatev, 2007). If image schemas such as PATH and CONTAINER, postulated to constitute the meanings of spatial prepositions such as *from* and *in*, are of the same kind as those of "barn owls and squirrel monkeys" then the representational character of language itself would be lost. Alternatively, one would need to defend the claims that representational cognition is only made possible by language. However, there is strong evidence against this in both developmental psychology (e.g. Mandler, 2004) and evolutionary theory (e.g. Donald, 1991; 2001): neither prelinguistic infants nor apes are trapped in the "here and now" but can engage in differed imitation and planning for future actions.

Mimetic schemas such as GRASP-X, JUMPING etc, which can be mentally rehearsed and combined, constitute a form of non-linguistic representational thought that can plausibly be assumed to play the role of a "bridge" between pre-representational sensorimotor cognition and language in both ontogeny and phylogeny (Donald, 1991, 2001; Zlatev, 2002, 2005; Arbib, 2003, 2005). While there appear to be other forms of mental representations than mimetic schemas, such as memories of specific *episodes*, mimetic schemas are more abstract than so, and have a combinatory potential that approaches that of language, and therefore constitute a plausible "exaptation" for the evolution of language, thereby providing the proverbial "missing link".

5.2 Accessible to consciousness

As pointed out already, mimetic schemas require an irreducible reference to consciousness for establishing their representational character, as well as for their internalization. While it is still the case in many quarters that the notion of consciousness is regarded with trepidation, this is more of a hangover from behaviourism than a rationally justified reaction. Over the 20 years or so, consciousnesses has become both philosophically and scientifically respectable and there has been clear progress in both conceptual issues, such as elucidating the "hard problem" of the irreducibility of qualitative experience (Chalmers, 1997) and methodological issues of combing "first-person" and "third-person" data in studying cognition and consciousness (Lutz and Thompson, 2003). Such studies have clarified distinctions between different modes or kinds of consciousness, e.g. *affective, perceptual* and *reflective* consciousness, and have contributed to the understanding the neural underpinnings of experience (Edelman, 1992; Georgieff and Jeannerod, 1998; Damasio, 2000).

Mimetic schemas involve reflective consciousness, since they can be *accessed* and *thought about* independently of whatever they represent, but they also contain affective aspects since they have what phenomenologists refer to as *affective tone* (Thompson, 2001). To see this last point is sufficient to appreciate the representational and affective differences between the schemas KISS-X and PUNCH-X. As structures of reflective consciousness mimetic schemas constitute part of our *declarative* knowledge, as opposed to *procedural*, sensorimotor skills such as bicycle-riding.⁷ This distinction is emphasized by Mandler (2004), who consistently distinguishes between the two sorts of knowledge, and corresponding forms of learning:

Procedural knowledge, both perceptual and motor, is inaccessible to consciousness. ... In spite of taking in lots of information at once ... it is also relatively slow to learn, and learning is accomplished by associative strengthening, typically over a number of trials, as in operand conditioning or perceptual schema formation. It aggregates frequency information. [...] Declarative or conceptual knowledge, in contrast, is accessible to awareness and is either describable in language, or, with a little analytic training, by drawing. It requires attention to be encoded into this format; this means that it is selective. (Mandler, 2004, p. 55)

Declarative knowledge is both representational and consciously assessable and as such can be properly regarded as *conceptual*. In this sense mimetic schemas are pre-verbal concepts, while sensorimotor (or other purely interactional) schemas are pre-conceptual. But even the latter are hardly explicable as structures of the "Cognitive Unconscious": "...the realm of thought that is completely and irrevocably inaccessible to direct conscious introspection" (Johnson and Lakoff, 1999, p. 12). In a recent publication, Johnson (2005) admits that by regarding image schemas as (unconscious) structures "you lose, or at least overlook, the very thing that gives image schemas their life, motivating force, and relevance to human meaning, namely,

⁷ It should be noted that by referring to mimetic schemas as "structures" I am in no way implying that they need to be *static*, and in this way am not presupposing a structure/process duality (cf. Zlatev, 2005). In this sense my construct is similar to Newton's (1996, 2003) *basic action schemas*, which are also claimed to be both representational and (potentially) conscious. A difference, however, is that mimetic schemas can be said to represent categories, i.e. types of actions, which Newton views as "unnecessarily abstract and metaphysically confusing" and suggests that only "actions schemas *in use* can be said to represent the action that they facilitate" (Newton, 2003, p. 188, original emphasis). However, such parsimony would prevent us from allowing mimetic schemas to play the role of *semantic representations* that can be combined as well as shared between different members of a community (see Section 4.3 and 4.5).

their embeddedness within affect-laden and value-laden experience" (*ibid*, p. 27). But this is nothing else but an aspect of affective consciousness, and thus an aspect of "phenomenological embodiment" (Lakoff and Johnson, 1999), rather than the Cognitive Unconscious. Johnson's (2005) problem of how to include (affective) consciousness into image schematic structure, does not appear with respect to mimetic schemas, since mimetic schemas are defined as "categories of acts of... bodily mimesis", e.g. as generalizations from specific bodily actions, and each schema is characterized by a different type of emotional-proprioceptive tone (Thompson, 2001).

To summarize, mimetic schemas require consciousness for (a) determining their representational character, i.e. they stand for something for a conscious subject; (b) they can be thought about (in the absence of language) as structures of imagination, and thus constitute a basic form of reflective consciousness and declarative knowledge and (c) they carry with them the affective components of real-life actions, and are thus endowed with affective tone.

5.3 Intermediately abstract

As pointed out earlier, mimetic schemas possess a level of abstractness that is greater than that of specific *episodes* (Donald, 1991) or of specific *action schemas* (Newton, 1996), but more specific than the one usually attributed to image schemas. The "proper level" of abstractness of image schemas in hotly contested in the Cognitive Linguistics literature. In addressing the issue of (image) schema-abstractness Grady (2005) distinguishes between three hierarchically ordered levels:

1. Specific schemas such as UP, for which Grady reserves the designation "image schema".

2. More abstract schemas such as MORE, which Grady calls "response schemas" since he regards them as being the outcome of "primary metaphors", defined as mappings from concrete and specific domains to abstract and fuzzy one due to correlations in experience in early childhood.

3. "Super-schemas" such as SCALAR PROPERTY, which capture the shared structure between (1) and (2)

Mimetic schemas such as CLIMBING and JUMPING are (at least) one level below Grady's first level. Being so close to perceptuomotor experience, it is fairly straightforward to account for their emergence through a process of generalization from instances of the corresponding acts – given normal generalization capabilities. However, inadequate generalization of perceptual experience is one of the features (and explanations) of autism (Plaisted, 2001) and hence mimetic schemas may indeed be difficult to acquire for children with autism (cf. 4.6 below).

It is *much* more difficult to see how more abstract structures such as UP could arise simply by abstracting from the specifics of particular actions or events, as distinct as balloons rising, monkeys climbing, rockets lifting, gazes shifting their angle etc. The learning task would be simplified if *language* plays a key role in the generalization process, for example, if the child is exposed to the expressions *up* or *down* in conjunction with actions involving motions in the corresponding direction. It is characteristic that in Regier's structured connectionist model of the learning of spatial concepts (Regier, 1996), it was necessary to provide such linguistic "labels" and furthermore to

learn several different terms in parallel, e.g. in, out and through, which thereby provided "implicit negative evidence" for one another; otherwise the model failed to converge. As well-known, there is substantial cross-linguistic variation in the spatial semantic systems of different languages and depending on the "linguistic input" children form different spatial concepts from the onset of language acquisition (Bowerman, 1996). For example, it has been shown that while both English and Korean infants are sensitive to the contrast between actions in which there is TIGHT-FIT vs. LOOSE-fit between the object of the action and the object in or on which it is placed, only Korean adults make this distinction in various categorization experiments. This can be explained by the fact that Korean has verbs which code this distinction: "putting in/on/together that result in a fitting relationship (KITTA) from those that result in loose containment (NEHTA) or surface contact (NOHTA, PWUTHIATA)" (Choi and Bowerman, 1991, p. 92). This argumentation implies that image schemas even of the most concrete type in Grady's hierarchy cannot be the sole "ground" for language, since they are – at least in part – learned through it. Mimetic schemas such as RUNNING and GRASP-X, on the other hand, can play such a role since they are (plausibly) acquired pre-verbally.

At the same time as being "close" to actual bodily experience, mimetic schemas possess a considerable amount of internal structure which can facilitate language acquisition. One thing that has so far been implicit, reflected in the notation used, is aspectual structure: mimetic schemas expressed as VERB-ING are representations of unbounded activities, while those given in the formula VERB-X are representations of goal-directed bounded actions. Aspect, whether lexically (i.e. "Aktionsart") or grammatically encoded, is a universal property of language and while languages differ substantially in their "tense and aspect systems" (cf. Dahl, 1985) the distinction between activities and actions is always observed. Durst-Andersen (1992) argues that in their basic forms, verbs denote only three kinds of entities: states (e.g. see), activities (e.g. eat) and actions (e.g. hit), and interestingly the first two appear fairly early in child language acquisition, and then in present tenses, while action verbs come rather later, typically in past tense (ibid, p. 21). Durst-Andersen uses such observations to argue that while states and activities can be perceived directly, actions are "mental constructs", consisting two sub-situations: an activity and a state, connected be the relation of *telicity* which is not perceived but rather conceived: "we find state situations in reality (e.g. a person sitting on a chair) and activity situations in reality (e.g. a person jumping), but we find no (genuine) actions situations in reality..." (ibid, p. 61). This analysis is applied successfully to Russian aspect, and the distinction between states/activities and actions is convincing. Still, it seems that Durst-Anderson is operating with a rather narrow and static notion of "reality", which (without getting into metaphysics) hardly corresponds to what is "real", meaningful and salient to the pre-linguistic child. As argued earlier in this essay, due to our capacities for intersubjectivity, the goal-directed actions of others will (normally) be perceived directly and non-inferentially, no less so than their states and activities, once the child has formed corresponding action and mimetic schemas. While mimetic schemas for activities like RUNNING and actions like HIT-X are not identical with the corresponding verb meanings, which with their conventionalnormative character are post-mimetic entities (cf. Section 3), they are rather straightforward candidates to ground the latter in ontogeny, and along with this the corresponding semantic distinction concerning aspect.⁸

In being more specific than the standard examples of image schemas such as SOURCE-PATH-GOAL, mimetic schemas are predominantly *iconic* representations, while the latter are often explicitly claimed *not* to be iconic (Mandler 2004, 2005). Iconic mimetic schemas *resemble* the represented activity or action in terms of features such as SPEED and DIRECTION as witnessed in *iconic gestures*. The great advantage of iconic, as opposed to arbitrary representations, is in the *transparency* of their content. Mimetic schemas thus do not need extra "rules of interpretation" in the manner of symbols (cf. Putnam, 1975). When applied in a particular context – either in thought, or in communication through miming and gestures – there will naturally be a process of interpretation by both the gesturer and audience. Still, this process can be seen as one of *re-enaction*, rather than a convention that needs to be known in advance.

There is also at least one mimetic schema which is *indexical* rather than iconic. As noted in Section 3, an act of bodily mimesis may correspond to an action, object or event, at the same time as it is differentiated from it, on the basis of indexicality, i.e. spatiotemporal contiguity or factorality (Sonesson, 1989; 2007). Reaching and "imperative" pointing which develops from it by ontogenetic ritualization (Tomasello, 1999; Brinck, 2003) involve indexicality and are attested in many non-human primates (Leavens and Hopkins, 1999) and 9 month infants. However, since these are basically procedural skills aimed at achieving a practical goal, either without or with a bystander, there is no real differentiation between the act of pointing and its *meaning*. Therefore they are not true indexical signs, i.e. representations. On the other hand, "declarative", or rather *referential* pointing, in which the meaning of the gesture is not to solicit a desired object, but to focus the attention of the addressee to some aspect of the environment for communicative purposes, is a sign/representation in this sense (Zlatev and al., 2005). Once the child acquires the ability to engage in referential pointing, through imitation rather than ritualization (Bruner, 1983; Tomasello, 1999), we may say that the child has acquired the mimetic schema POINT-TO-X, with its intersubjectively shared meaning. Evidence for this is that around their first birthday children both point referentially (e.g. at airplanes or other distal objects) and understand the pointing of others. Such pointing is a human universal, though the actual shape of the gesture - with the whole hand, the index finger, the head and mouth, or a combination of these - is conventionalized in different ways in different cultures (cf. Kita, 2003). Furthermore, by combining referential pointing and an iconic gesture, or more abstractly POINT-TO-X + ICONIC SCHEMA-X, one has the minimal building blocks for expressing a predication. All this structure can exist pre-linguistically and can serve as the ground for the development of language in childhood.

⁸ The relative delay in the emergence of action verbs compared to activity verbs could be explained by their relative referential ambiguity, rather than their greater cognitive complexity. As Durst Andersen (1992, p. 62) remarks: "A action manifests itself either as an **event**, i.e. as a state situation conceived to be caused by a certain prior activity situation [e.g. *He was killed.*], or as a **process** [e.g. *He gave her a flower (so that she would be glad).*], i.e. as an activity situation conceived to be intended to cause a future state".

5.4 Proprioceptively based

Image schemas, like mimetic schemas, are usually understood as crossmodal (as opposed to amodal) structures involving sensory-motor coordination. This formulation, however, avoids the question which sensory modalities are most essential in their formation. Is it possible, for example, to "subtract" two or even three sensory modalities, and expect that the remaining ones will be sufficient for the formation of such cross-modal schemas? Is there any one that is indispensable? The modality that is most often assumed to be most basic, either implicitly or explicitly so, is vision.9 This is in itself not implausible given the relatively dominant role played by vision in the primate and even more so human brain (Watt, 1991). However, some studies of congenital blindness show only slight delays in cognitive development and language onset, but otherwise a completely normal developmental pattern in language acquisition (Landau and Gleitman, 1985). Other studies do show that congenital blindness can be a contributing factor to symptoms that resemble autism (Hobson, Lee and Brown 1999). But even so, it appears quite clear that blindness predisposes for rather than directly causes the abnormal developmental patterns. It is noteworthy that the blind child studied by Landau and Gleitman received a high degree of haptic stimulation and linguistic interaction from her caregivers, which seemed to compensate for her lack visual input. While many questions on the underlying causality of the developmental processes involved in such clinical evidence remain unanswered, a rather straightforward conclusion is that while vision is undoubtedly a very important source of experience for normal children, it cannot be a necessary ground for either conceptual development or language.

Another hypothesis, deriving from the emphasis on sensorimotor activity in Piaget's developmental theory (Piaget, 1954), as well as Johnson's (1987, 2005) and Grady's (2005) description of image schemas as emerging, above all, from concrete physical experience, is that actual physical (kinesthetic) experience is a necessary condition for cognitive (and eventually linguistic) development. This may also seem to be a predication made by the theory presented in this article, if assumed that overt bodily mimesis (in imitation and gesture) must always precede the formation of covert mimetic schemas. We can thus formulate the following prediction: if image or mimetic schemas are acquired through actual physical experience, and they are a necessary prerequisite for the development of (spatial) cognition and language, then severely motorically impaired children, such as those suffering from *spinal* muscular atrophy (SMA) would be expected to be at least cognitively and linguistically retarded. However, it has been shown that children with SMA do not differ in their performance on tasks involving spatial cognition compared to healthy controls (Riviére and Lècuyer, 2002; 2003) and display normal IQ and language skills. Therefore it follows that either image/mimetic schemas are not acquired through actual physical experience or that they are not necessary for cognitive and linguistic development.¹⁰

⁹ Mandler (2004, 2005), for example, argues that "the spatial information most crucial to human concept formation is delivered primarily by the visual system (*ibid*, p. 149).

¹⁰ Mandler's (largely) vision-based account is fully compatible with these results, since it downplays the role of tactile and kinesthetic experience. But then it is problematic due to the results from congenital blindness.

The definition of bodily mimesis presented in Section 4 offers a way to resolve this difficulty. Since mimetic schemas are "categories of acts of ... bodily mimesis" it follows form point (a) of the definition that they are based on a cross-modal mapping between exteroception (dominated by vision, unless the child is blind) and *proprioception* (dominated by kinesthetic sense), a mapping that is hypothetically mediated through a pre-motor-parietal-temporal system for action-proprioception-perception mapping (Iacoboni, 2005). If a new-born child is deprived from either proprioception or from the proprioception-perception mapping, the prediction is that it will be quite helpless both motorically and cognitively, and would hardly even survive. However, if proprioception is (largely) lost in mature life, the effects should not be as negative. Gallagher (2005) describes extensively a well-known patient, IW, who has lost tactile and proprioceptive input from the neck down as an adult but with much effort has learned to consciously control his movements, especially through visual guidance. In Gallagher's (2005, p. 37-38) analysis this patient performs this through the *body image*, which is defined as "a (sometimes conscious) system of perceptions, attitudes, beliefs and disposi-tions pertaining to one's own body." What Gallagher suggests is impaired in the patient is the body schema: "a system of sensory-motor processes that constantly regulate posture and movement - processes that function without reflective awareness or the necessity of perceptual monitoring" (Gallagher, 2005, p. 37-38) which depends crucially on proprioception. The two systems normally interact, in development as well as in motoric performance, but can be doubly disassociated as shown from studies of hemineglect, where the patients appear to have an intact body schema, but are more or less "blind" to part of their bodies and visual field phenomenologically, even though they may still react to stimuli in that sub-field on the basis of non-conscious processes.

Given these concepts, we can hypothesise that bodily mimesis *originates* by linking the body schema and the external environment and above all other people, but since the body schema is not under conscious control, on this level we can only speak of *proto*-mimesis. Full bodily mimesis and mimetic schemas, characterized in this section as *conscious, dynamic representations*, must rather involve the body *image*. This serves as a kind of *virtual* body and appears to correspond to the "mimetic controller" hypothesized by Donald (1991). Thus IW would not be impaired from using mimetic schemas, as here defined.

Returning to the children with SMA, while they are motorically impaired, this does not need to involve the "innate" (i.e. present at birth) aspects of their body schemas, i.e. the ones responsible for neonatal imitation and for identifying with con-specifics (Gopnik and Meltzoff, 1993; Gallagher, 2005). This may be sufficient to bootstrap the development of their body images, allowing them to form mimetic schemas of the *covert* type. In other words, they would have been able to perform mental "simulations" of actions and events, matching these to the bodily motions of others, through a "shared representations" system, internalizing not their own actions, but those of others. This has implications for the basically intersubjective character of mimetic schemas, explicated below.

5.5 Pre-reflectively shared

The final characteristic of mimetic schemas brings us back to where we began: intersubjectivity. Image schemas, as nearly most constructs proposed within cognitive science, are usually conceived of as individual phenomena (cf. Sinha, 1999), even when their "interactional" as opposed to subjective character is emphasized (Johnson, 1987; Lakoff, 1987; Lakoff and Gallese, 2005). But as argued in Section 3, language is essentially a social, conventionalnormative phenomenon (cf. Itkonen, 2003). So, on the one hand we have a conceptual problem: how do we unite individual, "embodied" cognition and super-individual semantic and grammatical norms? At the same time we have an empirical problem: 18-month-old children who begin using language productively lack the meta-linguistic capacity for establishing full-fledged conventions; how do children therefore move from the sensorimotor to the symbolic (i.e. conventional-normative and systematic) level to learn a language as a "socially shared symbolic system" (Nelson and Shaw, 2002)? Part of the answer may lie in the fact that language use itself teaches children the concepts of conventionality and normativity, through the negotiations of discourse (Tomasello, 1999) and through the narratives that children are exposed to (Hutto, 2003; in press). But this can not be the whole story, unless one wishes to claim that children's entrance into language is characterized by simple (behaviourist) "usage" rather than intersubjective meaning - and this is implausible given the facts of pre-linguistic referential pointing and early language acquisition (Sinha, 1988; Baldwin, 1995; Bloom, 2000).

The attempt to resolve these problems was the initial motivation that brought me to the concepts of bodily mimesis and mimetic schemas (Zlatev, 2002; 2003). My contention is that they can help to provide the necessary link between individual embodied cognition and collective representations, i.e. culture, due to the following considerations. Mimetic schemas will be overwhelmingly shared among the members of a community who engage in close face-to-face, or rather body-to-body interaction. A particularly important form of such interaction consists of (overt or covert) imitation. This yields what Arbib (2003, 2005) calls representational parity and the content of a mimetic schema, e.g. JUMPING, will be similar for the one who performs the act and for the one who observes it, imitates it, and internalizes it. As pointed out in Section 2, imitation was hypothesized by Piaget (1951 [1945]: 280) to serve as the route to developing the first (true) representations in children: "Imitation, with the help of images, provides the essential system of "signifiers" for the purpose of individual or egocentric representation". The latter term requires clarification; Piaget uses the term "egocentrism" to refer to a "failure to differentiate between the ego and the group, or confusion of the individual view-point and that of others" (ibid, p. 290). In other words, it is more appropriate to say that mimetic schemas are pre-reflectively shared, i.e. intersubjective, rather than "individual". In sum, since the child's mimetic schemas derive from imitating - overtly or covertly - salient activities and actions in the cultural community, both their representational and experiential content will be "shared" with those of his caregivers and peers.

Furthermore, since mimetic schemas are "categories of acts", arrived through by generalization, they will be relatively stable and delineated. There will thus be a limited set of mimetic schemas within a specific cultural community, and their *parity* can be not only on the level of individual actions, but of *types*.¹¹ As pointed out in Section 4.3, mimetic schemas possess a considerable amount of semantic structure. In both of these respects – sharing

¹¹ I wish to thank Esa Itkonen for helping me clarify this point.

and structure – mimetic schemas can serve as a bridge to developing true *symbols*, which are (a) not just shared but *known to be shared* (i.e. conventional) and normative and (b) systematically related.

This, I believe, addresses both the conceptual and the empirical problems outline above, but only in an "in principle" fashion. What more specific evidence can be offered in support of this bridging role of bodily mimesis and mimetic schemas in human ontogeny? I wish to conclude with a rather a bold hypothesis: *autism can be (in part) attributed to a direct or indirect impairment in bodily mimesis.* What are the grounds for this suggestion?

Authorities on the still mysterious syndrome of autism, such as Firth (1989), Baron-Cohen (1995), Tager-Flusberg (2000) and Hobson (2004) differ extensively in their theoretical accounts, but they all agree that autism is a developmental disorder characterized by impairments in *social interaction*, *communication* and *imagination*. Bodily mimesis and mimetic schemas have been argued to be crucially involved in all three of these capacities, so this is the first connection. More specifically, children with autism, even in the advanced range of the spectrum, do not imitate appropriately (Hobson and Lee 1999), if they do at all (Charman et al., 1997), and here the connection to bodily mimesis is obvious. Hobson (2004) presents a review of much evidence that such children have difficulties in understanding and sharing, above all *emotional* experiences with others, and attributes these problems to a fundamental disturbance in the capacity of autistic children to *identify* with others, i.e. primary intersubjectivity.

At the same time, such essentially *social* deficits in autism could also have causes that are not specifically directed at social cognition, such as *enhanced local processing* (Happé, 1999) or *reduced generalization capacity* (Plaisted, 2001), which have been proposed in order to explain the peculiarities of (non-social) perceptual processing in autism, including savant skills in music and mathematics, which are difficult to account assuming a primarily social deficit (Happé, 1999).

In fact, the hypothesis here suggested can possibly reconcile the "social" and "non-social" explanations of autism. Not withstanding whether children with autism have a direct impairment in relevant aspects of their (innate) body schema, or fail to develop it sufficiently due to generalization difficulties, there is accumulating evidence that children who are eventually diagnosed with austim have peculiarities in their early motoric development (Teitelbaum et al., 1998; Baranek, 1999; Zwaigenbaum et al., 2005). A neuroscientifically supported explanation of these difficulties has been advanced: while normally developing children use predominantly an anticipatory mode of control in performing voluntary actions, children with autism use a feedback mode of control which is much less efficient (Schmitz et al., 2003). The latter authors interpret this as a deficiency in the development of "action representations" in autism and pose the question whether such representations may be a prerequisite for the development of "social and cognitive representations". The present theory would, naturally, propose a positive answer, identifying the deficient "action representations" in autism with mimetic schemas, or at least with their precursors. While the disturbances may be initially (mostly) sensorimotor, they would affect negatively the mimetic mapping between exteroception and prorioception, and thus the ability to identify with others, to imitate and to develop a normal body image. Again, I regarded it as an unresolved empirical

question whether at the bottom of this process may lay an impaired generalization capacity (Plaisted, 2001) or whether different initial causes can result in a similar outcome: deficient mimetic schemas. The present focus is in the fact that with impairment in bodily mimesis, we should expect to find deficits in imagination, gesture and eventually language in autism, a prediction that is confirmed. Even high-performing children with autism who manage to acquire language more or less fluently (a relatively small proportion of all children diagnosed with the syndrome), still display not only "pragmatic" deficits, but semantic and grammatical ones (Tager-Flusberg, 2000) showing that their knowledge is not completely in tune with that of their community.

In a recent publication, Tomasello et al. (2005) provide a similar account of a species-specific characteristic of human beings leading to cultural evolution, and speculate that this adaptation is impaired in autism:

Our proposal for this "small difference that made a big difference" is an adaptation for participating in collaborative activities involving shared intentionality – which requires selection during human evolution for powerful skills of intention reading as well as motivation to share psychological states with others. In ontogeny, these two components [...] intermingle from the beginning to produce a *unique developmental pathway for human cultural evolution, involving unique forms of social engagement, symbolic communication, and cognitive representation (ibid, p. 690, my emphasis).*

In particular, Tomasello et al (2005) claim that children with autism fail to develop (adequate) "dialogic cognitive representations". However, Tomasello et al.'s theory suffers from at least two major drawbacks. First, they fail to explain how in normal children "the process of emotional engagement yields the forms of sharing that are critical for specifically human communication and thinking" as pointed out by Hobson (2005, p. 704). Even more critically, the nature and development of the proposed cognitive representations "which are in some way internalized in Vygotskyan fashion" (Tomasello et al., 2005, p. 689) remain vague. The theory presented here is, I believe, more specific in both of these points: what is internalized are mimetic schemas, and the fact that these are rooted in proto-mimesis as a form of primary intersubjectivity, shows the continuity between the "dyadic (emotional) engagements" of normal infants, the triadic engagements of older infants, and eventually the "collaborative engagements" based on shared mimetic schemas, which can be communicated first gesturally and eventually through language. In sum, bodily mimesis and mimetic schemas can help explain the nature of human cognitive specificity, being what allows non-autistic children to co-construct a world of meaning that is shared with their elders and peers.

6. SUMMARY AND CONCLUSIONS

We began by addressing the relationship between intersubjectivity and language, and suggested relating these (in evolution and development) through the concepts of bodily mimesis and mimetic schemas. The latter were then explicated as cognitive structures which are:

• *representational* – "running" the schema (either in reality or in imagination) is differentiated from the activity, action or object to which is corresponds.

- *accessible to consciousness* even though it is not always a matter of focal, but marginal consciousness (Gurwitsch, 1964).
- *intermediately abstract* since mimetic schemas are generalizations of bodily activities or actions, they are less abstract than "image schemas" such as PATH, but more so than concrete episodes, or specific action schemas
- proprioceptively based even if the action is mentally "simulated" rather than actually re-enacted.
- *pre-reflectively shared* since they derive from imitating culturally salient activities and actions, both their representational and experiential content can be "shared" by the members of the community, and thus constitute *cultural* representations.

Mimetic schemas have been argued to function as a pre-linguistic "ground" (and evolutionary exaptation) for language since they constitute the first true (i.e. "accessible") concepts. They also allow the realization that others have similar concepts, though possibly different perspectives. In this way, they serve as precondition for communicative intentions (in the form triadic mimesis) and at the same time provide basic semantic structure (e.g. representations of activities and actions) for the acquisition of language. Crucially, they bootstrap the acquisition of verbs, which are essential for the acquisition of grammar (Tomasello, 1992; 2003). Furthermore, as a ground for public, conventional symbols, mimetic schemas can help explain how both "cognitive" (representational) and "affective" (experiential) meaning can be communicated through language, since both aspects can be – to various degrees – shared by communicators with similar bodily experiences.

At the same time, it should be held in mind that mimetic schemas do not *constitute* linguistic meanings, which are not only intersubjective, but conventional-normative and systematically interrelated in a way that mimetic schemas are not. Acquiring language and engaging in narrative practices introduces therefore yet another dimension in the development of human intersubjectivity (e.g. Hutto, 2004, in press), which I have here dealt with only cursorily, since the focus has been on bridging the gap between "individual" experience and collective language.

Without claiming expertise, I have sought empirical support for the theory presented in the literature on sensory and cognitive impairments. Claiming that bodily mimesis and mimetic schemas (rather than "image schemas" or other related constructs) lie at the roots of human cognition are consistent with a number of clinical observations, such as those that deaf children are not aversely cognitively affected, and are perfectly capable of even creating a language from mimesis given the right conditions, blind children provided with rich bodily and verbal interaction will not be cognitively and linguistically retarded and even children with severe motor impairments, e.g. SMA will be relatively unaffected, suggesting that mimetic schemas are not sensorimotor structures but conscious reenactments.

On the other hand, children with autism, who are not so much mind-blind as "body blind" (Gallagher, 2005) – or rather: "short-sighted" – will be most aversely affected. If the theory presented in this article can contribute to the working out of a satisfactory explanation, and perhaps even suggest novel methods of treatment, for this still mysterious impairment of human intersubjectivity, that would be its strongest theoretical and practical validation.

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